



PATENTS
112056-0022
P01-1047

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:)	
Mark Muhlestein et al.)	
)	Examiner: Nguyen, Than Vinh
Serial No.: 10/035,664)	
)	
Filed: December 28, 2001)	Art Unit: 2187
)	
For: ARCHITECTURE FOR CREATING)	
AND MAINTAINING VIRTUAL)	
FILERS ON A FILER)	
		Cesari and McKenna, LLP
		88 Black Falcon Avenue
		Boston, MA 02210
		October 18, 2005

"Express Mail" Mailing-Label Number: EV 336700524 US

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION-DISCLOSURE STATEMENT

In keeping with the duty of candor and good faith owed to the Patent and Trade-mark Office, Applicants wish to bring to the Examiner's attention the references listed on the accompanying form PTO-1449. A copy of each listed reference is enclosed.

The undersigned hereby certifies that no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry,

10/20/2005 HLE333 00000083 10035664

02 FC:1806

180.00 OP

was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

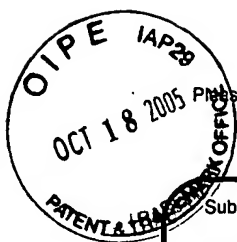
To the extent required by 37 C.F.R. §1.98(a)(3), Applicants have described what they consider to be the relevance of any foreign-language reference. The Office may find additionally relevant material in these or other references.

We enclose a check for \$180 as prescribed in 37 C.F.R. § 1.17(p). Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,



James A. Blanchette
Reg. No. 51,477
CESARI AND MCKENNA, LLP
88 Black Falcon Avenue
Boston, MA 02210-2414
(617) 951-2500



Please type a plus sign (+) inside this box → ☐

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/035,664
Filing Date	December 28, 2001
First Named Inventor	Mark Muhlestein et al.
Group Art Unit	2187
Examiner Name	Nguyen, Than Vinh
Attorney Docket Number	112056-0022

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	z/VM General Information: Version 3 Release 1.0, Second Edition, January 2001, International Business Machines Corporation	
	2	Celerra File Server Architecture for High Availability, August 1999, EMC Corporation, NY	
	3	EMC Celerra File Server: Product Description Guide, June 2000, EMC Corporation, NY	
	4	ALLISON, Jeremy, Doing the NIS/NT Samba, LinuxWorld.com, October 1, 1998, pgs. 1-9	
	5	ECKSTEIN, R., et al., Using Samba, November 1999, O'Reilly & Associates	

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/035,664
Filing Date	December 28, 2001
First Named Inventor	Mark Muhlestein et al.
Group Art Unit	2187
Examiner Name	Nguyen, Than Vinh
Attorney Docket Number	112056-0022

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		z/VM General Information: Version 3 Release 1.0, Second Edition, January 2001, International Business Machines Corporation	
		Celerra File Server Architecture for High Availability, August 1999, EMC Corporation, NY	
		EMC Celerra File Server: Product Description Guide, June 2000, EMC Corporation, NY	
		ALLISON, Jeremy, Doing the NIS/NT Samba, LinuxWorld.com, October 1, 1998, pgs. 1-9	
		Samba,	

Examiner
Signature

Date
Considered

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.